

Partners:  
IMEC, LMF, SEQ, NDE, WIN, ORA, SAT, OUL, EAB, AAU, QLC, SON, TUD, BI



## HEXA-X-II D5.3 Deliverable

# Initial design and validation of technologies and architecture of 6G devices and infrastructure

Hexa-X-II  
[hexa-x-ii.eu](http://hexa-x-ii.eu)

19.02.2024



# Deliverable structure



- 1. Introduction
- 2. Sub-THz transceiver design
  - 2.1 Dimensioning of sub-THz architectures
  - 2.2 Reviewing models of hardware non-idealities
  - 2.3 Wideband array phase noise analysis and role of LO routing
  - 2.4 Transceiver architectures building on RTD devices
  - 2.5 Switched beam antenna lenses
- 3. Reflective Intelligent Surfaces design
  - 3.1 RIS models at mmWave
  - 3.2 RIS system integration
- 4. 6G System-on-Chip architecture
  - 4.1 DSP and AI SoC Components
  - 4.2 Secure and scalable 6G SoC design
  - 4.3 Multi-source EH and power management
- 5. Ultra-low cost/power devices
  - 5.1 Energy, cost, and performance trade-offs
  - 5.2 Enabling technologies
  - 5.3 ZE PoC
- 6. Conclusions



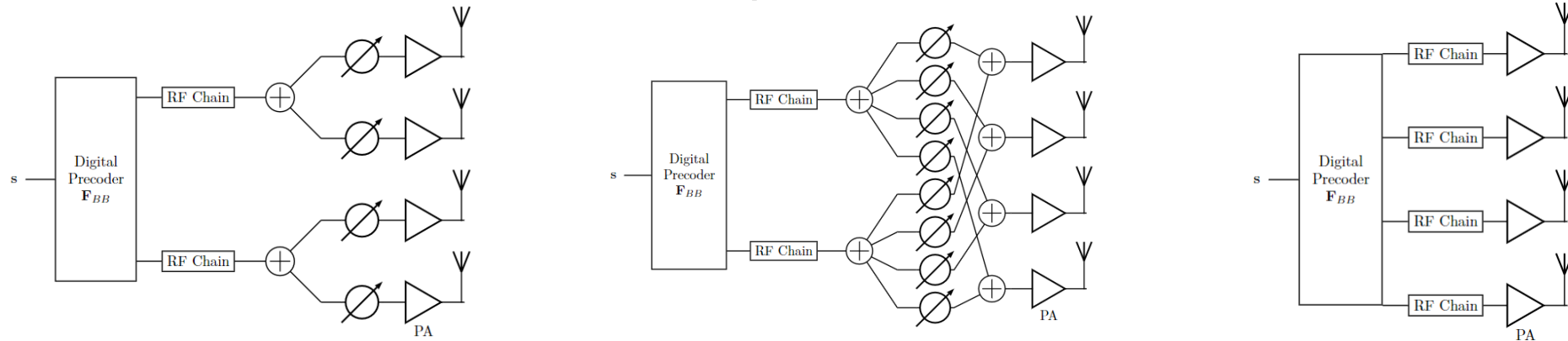
## 2. Sub-THz transceiver design

- Architecture dimensioning for maximum energy efficiency
  - Hybrid partially and fully-connected architectures vs. full-digital
  - Power modeling and dimensioning for selected hotspot scenarios
- HW non-idealities
  - Overview of models and recommendation towards sub-THz bands (PA models with memory, frequency-selective I/Q imbalance, per-antenna model correlation)
  - Role of LO distribution on phase noise impact (asymmetries in the different antenna paths)
- Alternative architectures
  - Resonant Tunneling Diodes for simpler architectures at frequencies  $> 100$  GHz
  - Switched beam antenna lenses as lower-power alternative to phased arrays

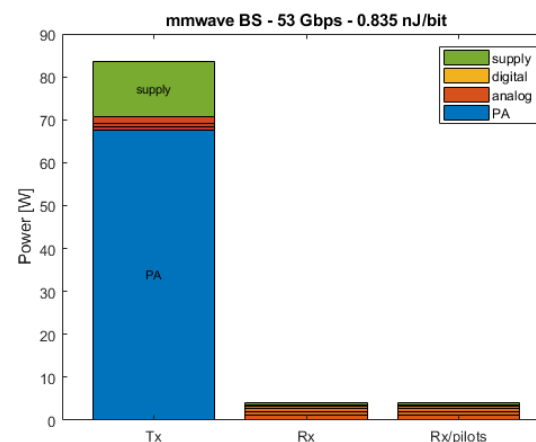


## 2.1 - Dimensioning of sub-THz architectures

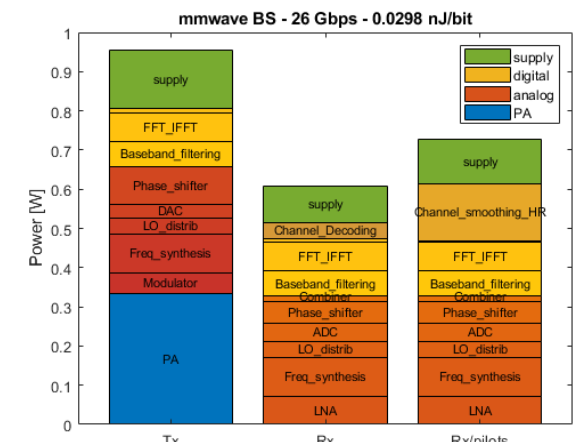
- Hybrid partially-connected / hybrid fully-connected / full-digital
  - Each architecture has benefits for specific scenarios



- Key dimensioning for lowest energy/bit (PA output level, number of antennas, MCS, ...)
  - 10x to 50x savings from baseline
  - Simulated for hotspot use cases
    - Small: 10 m, 2x MU-MIMO
    - Medium: 30 m, 4x MU-MIMO
    - Large: 100 m, 8x MU-MIMO/sector
  - Average of Tx and Rx power



0.84 nJ/bit



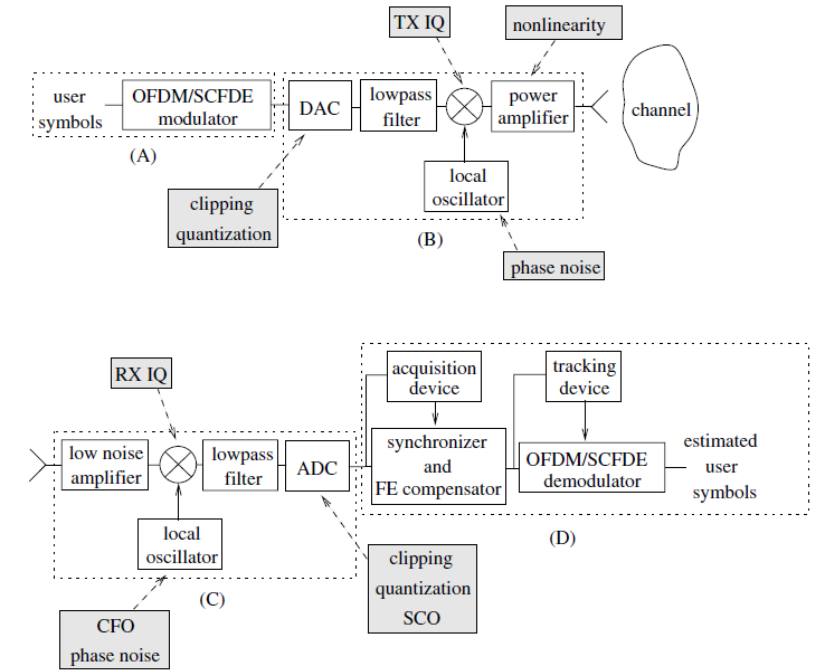
0.03 nJ/bit



## 2.2 - Reviewing models of HW non-idealities

- Overview of main HW non-ideality models

Non-idealities	RF component (main)
PA non-linearity	Power Amplifier/LNA
Phase noise	Local Oscillator
IQ imbalance	Mixer, Low-Pass Filter
DC Offset	Mixer, Local Oscillator
Sampling Frequency Offset	ADC/DAC
Carrier Frequency Offset	Local Oscillator
DAC/ADC non-idealities, clipping/quantization	DAC/ADC
Phase shift error	Phase shifters



- Expected model updates for sub-THz bands

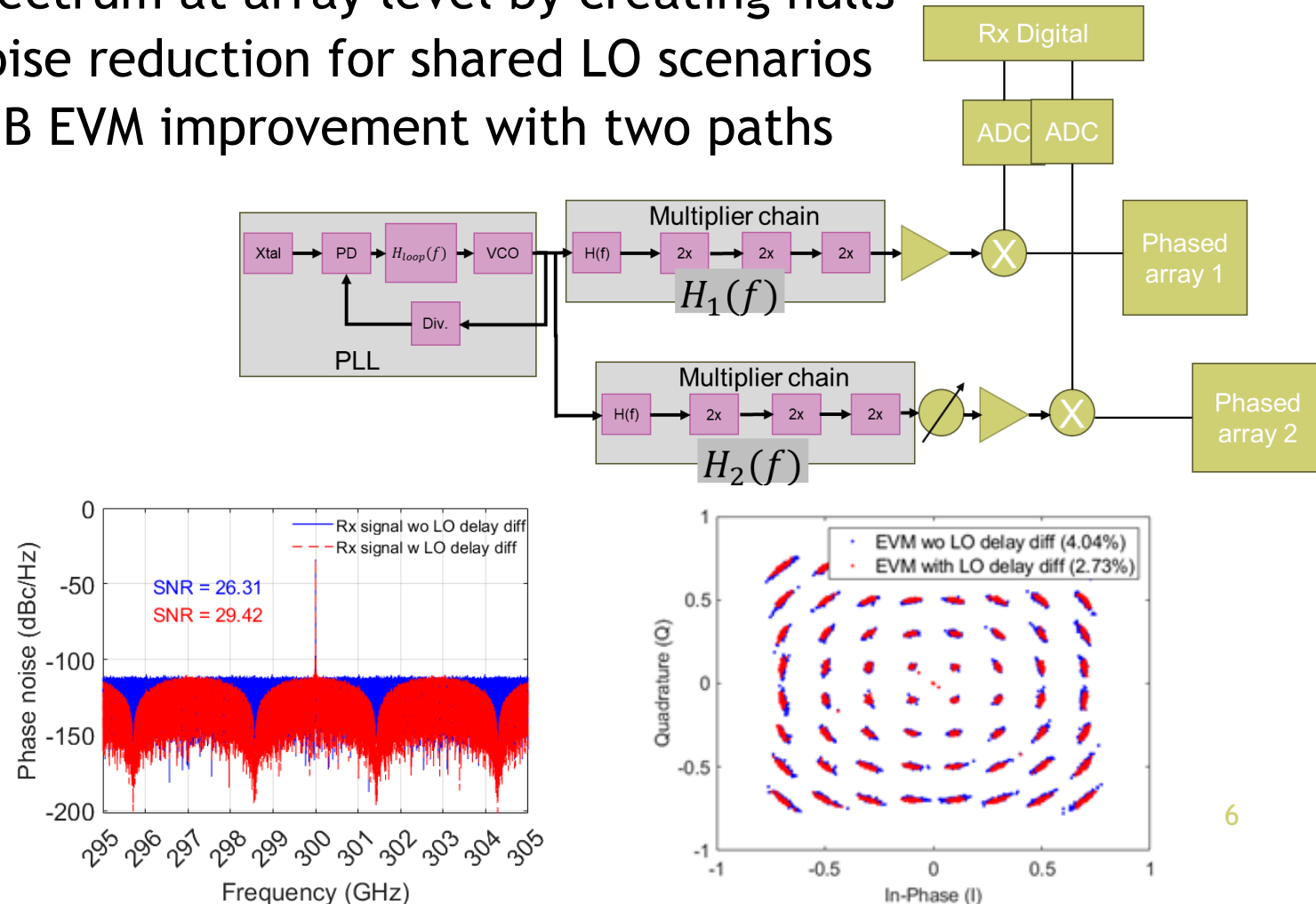
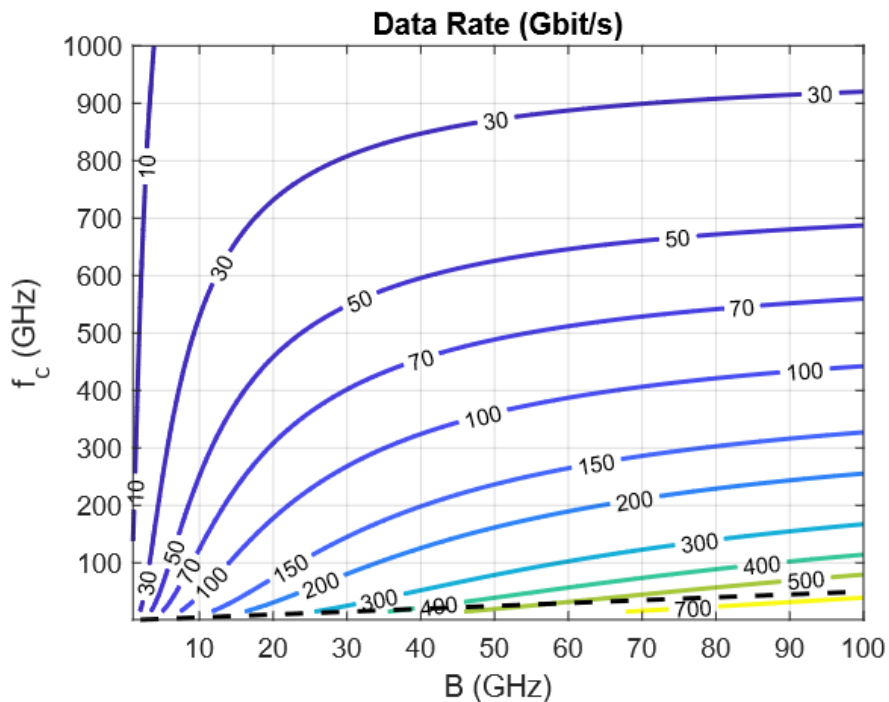
- Specificities: higher carrier freq., wider bandwidth, more antennas, different arch.
  - PA models with memory
  - Larger phase noise and wider noise floor integration
  - Frequency-selective I/Q imbalance
  - Increased jitter and noise impact on ADC
  - Per-antenna random fluctuations (PA, LO distribution, I/Q)





## 2.3 - Wideband array phase noise analysis and role of LO routing

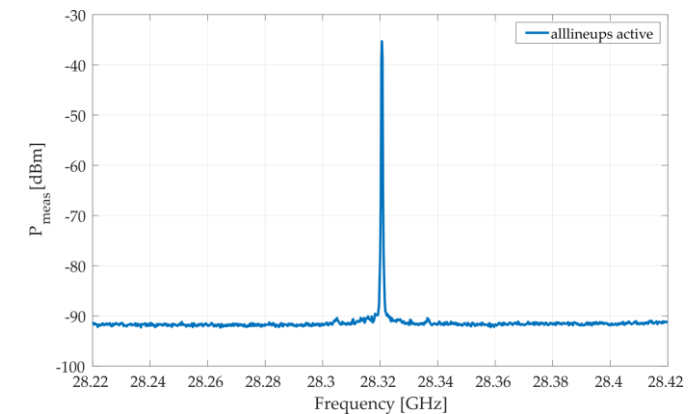
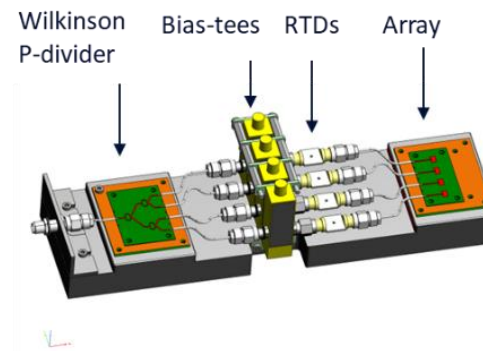
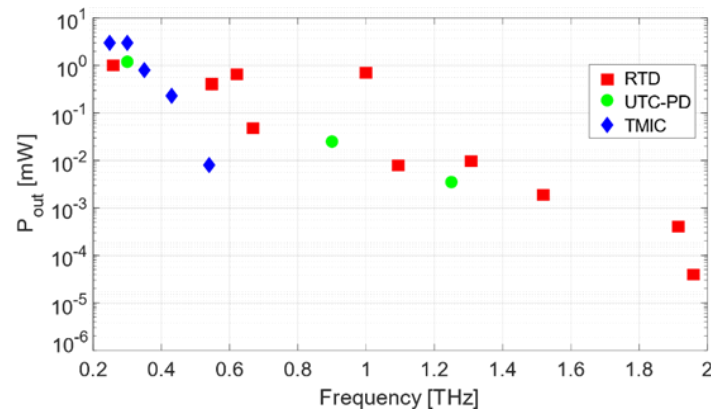
- Wideband phase noise vs. achievable data-rate
  - Relevant with very wide bandwidths
  - Techniques needed to mitigate wideband phase noise
- Impact of analog delays in LO routing studied
  - Delay difference helps to reduce combined phase noise spectrum at array level by creating nulls
  - Noise reduction for shared LO scenarios
  - 3dB EVM improvement with two paths



## 2.4 - Transceiver architectures building on RTD devices



- RTD (resonant tunneling diode)-based architectures represent a promising candidate for future 6G communications, especially for sub-THz/THz frequencies
  - Simpler architecture compared to more conventional transistor-based technologies
  - Easy circuit realization, increased versatility, and energy-efficient implementation
  - Output power limitations of single-based devices can be compensated by means of RTD-based array configurations operating coherently (28 GHz prototype)

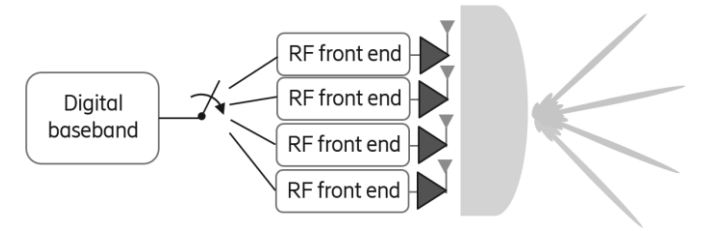


- Antenna integration challenges and solutions (e.g. slot-antenna, patch, bow-tie) are discussed
- Possible modulations supported on such architectures are explored (e.g. OOK, ASK)



## 2.5 - Switched beam antenna lenses

- Lenses collimate the electromagnetic waves, thus providing a beamforming gain
  - Alternative to phased arrays for sub-THz communication
- Advantages
  - Enables beam switching by dynamic antenna port selection
  - Lack of beam squint due to the absence of phase shifters
  - One transceiver chain per polarization is active at any given time
    - Single active PA per direction and polarization
- Example results
  - Power consumption of the lens-based architecture is smaller than phased arrays
  - Achieving EIRP > 50 dBm in UEs is unrealistic by phased arrays
    - Consumed power > 20 W for phased arrays vs. 1-2 W for lens-based
  - Lenses could be considered for both devices and network radios at sub-THz
    - Significantly lower power consumption
    - Physical size of lenses will limit achievable device EIRP, hence UL link budget







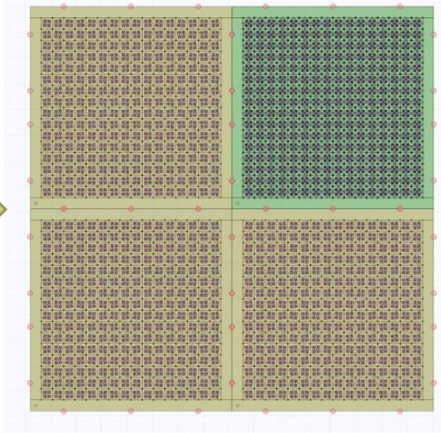
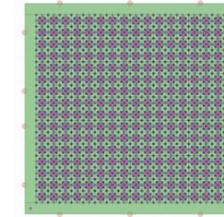
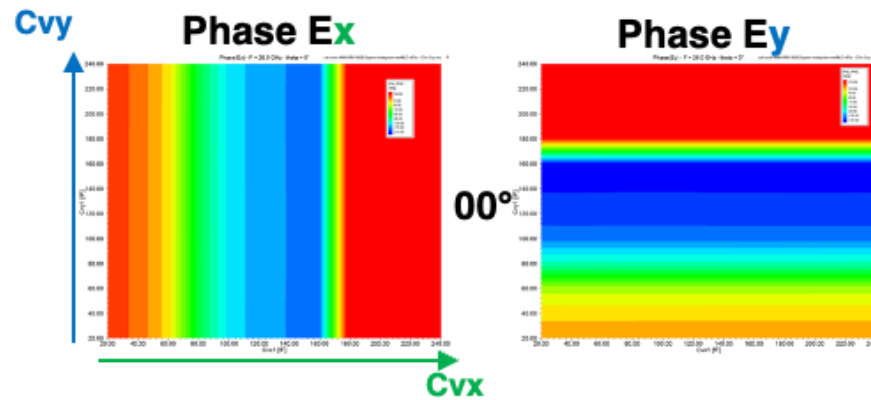
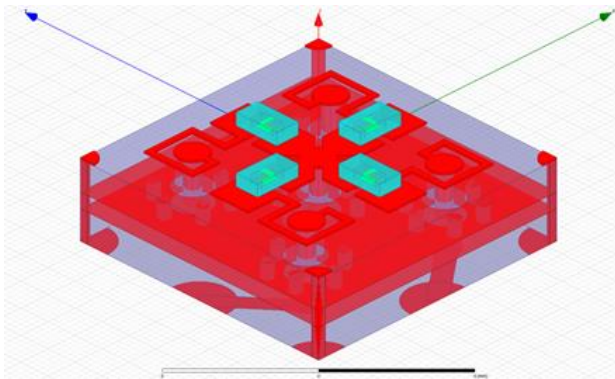
### 3. Reflective Intelligent Surfaces design

- RIS solutions for range extension
  - 3D radiation pattern modeling and reflection coefficients
  - Cross-validation between models and prototype measurements
  - Scalable architecture tiles
- RIS system integration
  - Different control options in the network
  - Main properties, control functions and protocols

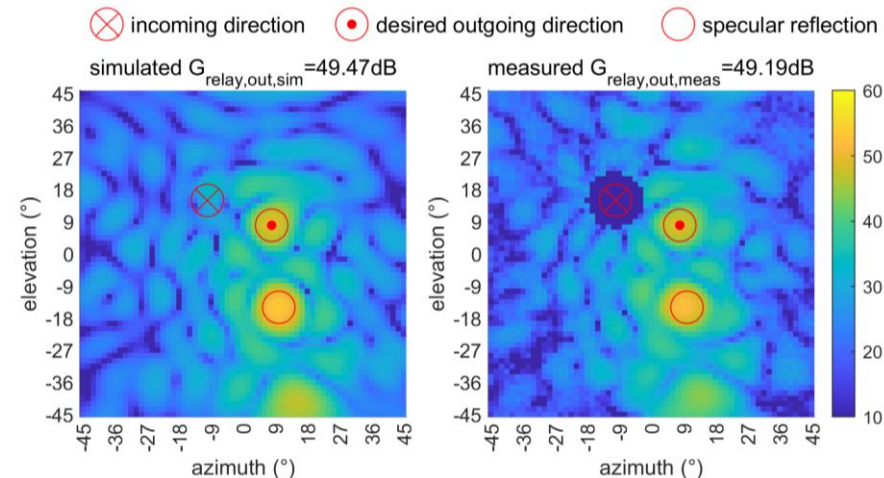
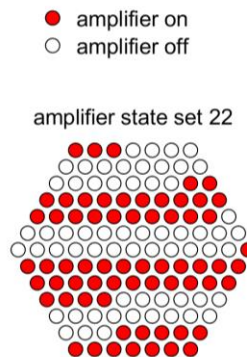
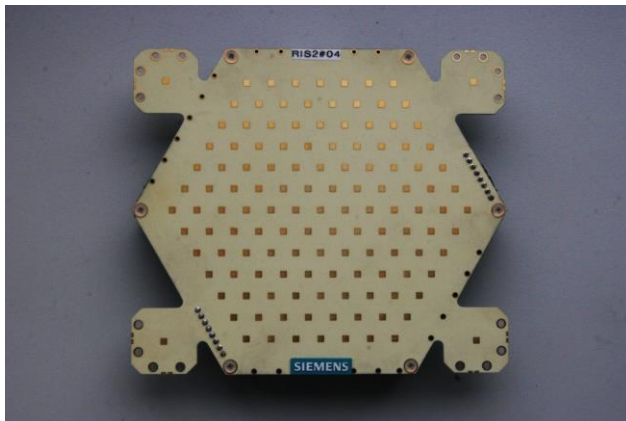


# 3.1 - RIS models at mmWave

- Dual Polarization Unit cell at mmWave frequencies (24.25 - 27.5 GHz)
  - Continuous reflected phase control with at least  $300^\circ$  thanks to varactor diodes
  - Stable and independent phase controls - Modular design of 16x16 tile



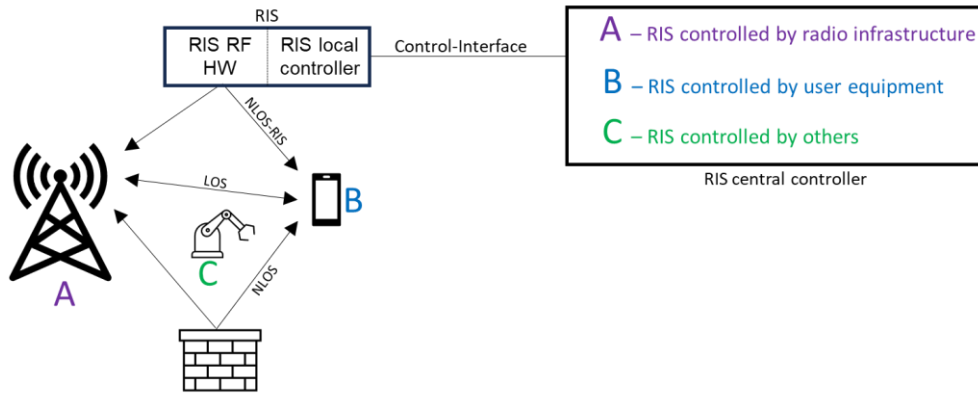
- Validated angular reflections between simulations and measurements





# 3.2 - RIS system integration

- Three possible control options



- Controller specs clarified
  - RIS properties
  - Local controller functions
  - Interfaces and protocols

Property	Base unit	Typical value range	Comment	
frequency range	GHz	for FR1, FR2, FR3	specifies for which frequency range the RIS is functioning	
typical relay gain	dB	>40 dB	Typical relay gain that can be expected from the RIS during operation. It is defined as the gain of a fictional amplifier between a 0 dBi isotropic receive antenna and a 0 dBi isotropic transmit antenna located at the RIS centre position that produces the same field strength as the RIS in the desired target direction.	
accepted polarisation	incoming	-	H&V, H, LHCP, V, -45°, ±45°, ...	Polarizations that the RIS can accept
produced polarization	outgoing	-	H&V, H, LHCP, V, -45°, ±45°, ...	Polarizations that the RIS can produce
polarization reciprocity	-	-	Yes Yes, with up/downlink awareness No	Polarization reciprocity defines whether signals can go in both directions without a change in polarization.  Most passive RIS will be reciprocal.  An active RIS might change the polarization and need direction switching dependent on up/downlink slots.
boresight alignment	dimensional axis	x	-	Boresight (center) direction of beam steering range with respect to the RIS construction / mounting instructions
polarization alignment	dimensional axis	e.g. vertical polarization aligned with z-axis	-	Alignment of polarization mode(s) with respect to the RIS construction / mounting instructions
typical beam width	degree (°)	from few degrees to some 10 degrees	-	Typical beam-width of the main beam when trained on the receiver.
beam control range	degree (°)	from few 10 degrees up to 150 degrees	-	Angular range in which the main beam can be steered.
switching time	µs	from µs to seconds	-	Transient time it takes to switch from one RIS configuration to the next (beam switching)
timing accuracy	µs	> a few µs	-	Accuracy of the time instant at which a pre-scheduled change of a RIS configuration (e.g., beam switching) takes place.
power consumption	W	> a few W	-	Power consumption of the RIS in operation.
maximum incoming signal power density	W/m²	from few W/m² to several 10 W/m²	-	Intended maximum power level at the RIS where it conforms to radio regulations regarding linearity.
dimensions	x, y, z	around 15 cm or larger	-	RIS size depends on operation frequency and typical relay gain
weight	kg	from below 1 kg to several 10 kg	-	-



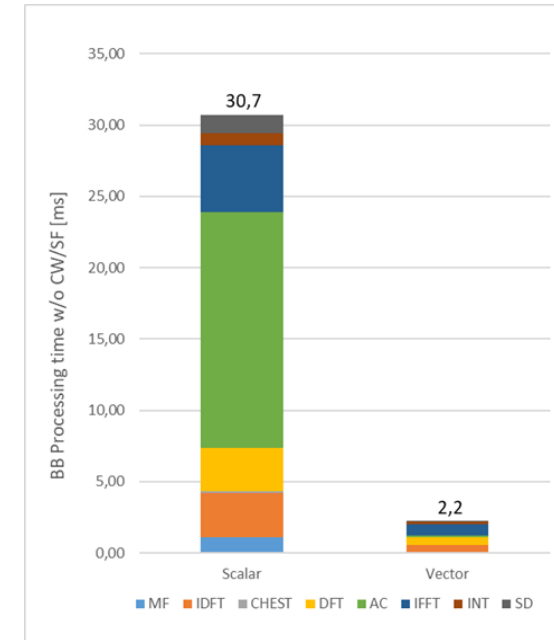
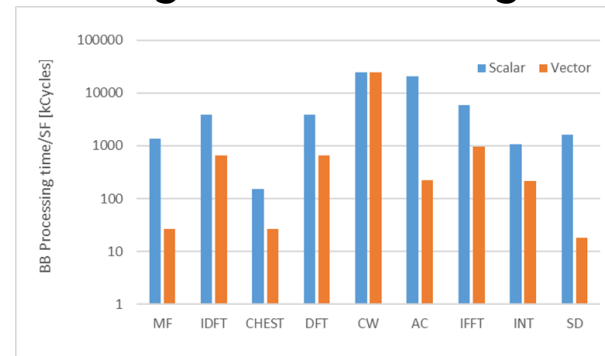
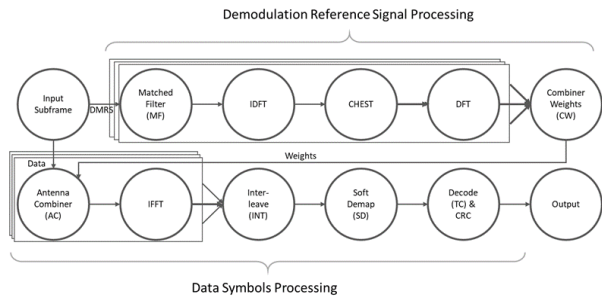
## 4. 6G System-on-Chip architecture

- Advanced DSP and AI capabilities
  - RISC-V based platform and specific accelerators
  - AI for resource allocation and network management
  - MIMO benchmark performance assessment
- Scalable design approach including security aspects
  - Integration of the different cores and accelerators, including high-throughput memory data transfers
  - Isolation of components and trustworthy connections
- Energy harvesting and power management
  - Combination of different energy sources
  - Monitoring and management of energy storage

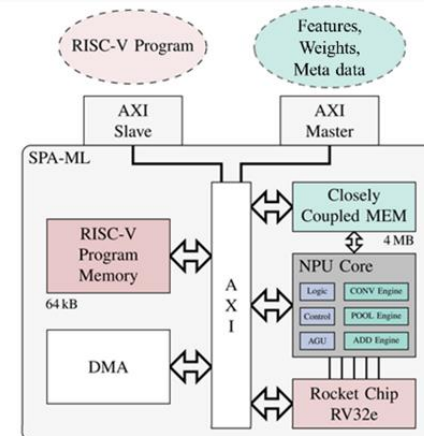


# 4.1 - DSP and AI SoC components

- Investigated RISC-V and its RVV for baseband signal processing
  - Selected scalable MIMO baseband benchmark algorithm commonly used in HW performance evaluation (PhyBench)
  - Profiling and vectorization of baseband kernels on RISC-V
  - Speedup factor 14 achieved for subframe processing w/o MIMO combiner weight computation -> open problem: MIMO precoding/combiner weight computation



- Proposed AI Accelerator for signal processing:
  - RISC-V Control Processor
  - Scalable network processing unit (NPU)
  - Multi-bank TCM
  - AXI interface



## Selected features:

- Tensor DNNs, CNNs, FCNN operations
- Piecewise linear activation approximation
- Bit-serial processing for mixed-precision computations

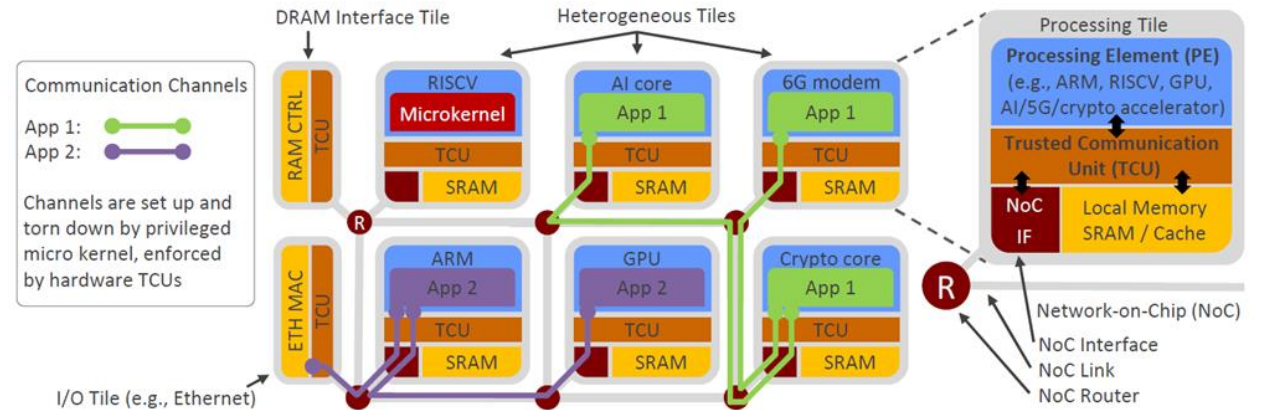




## 4.2 - Secure and scalable 6G SoC design

### Goals:

- Investigate secure connectivity of SoC HW/SW components by providing hardware-supported application isolation
- Isolate untrustworthy components to prevent their ability to attack other components
- Improve SoC trustworthiness while minimizing the impact of security overhead



General architectural concept of a secure and scalable SoC architecture

Started secure integration of hardware accelerators into SoC architecture

- Goal: Meet application-specific performance requirements
- Challenges:
  - Accelerator requires high throughputs to memory and data transfers
  - Integration with operating system, e.g., process communication, file system access
- Proposed and presented solution (in progress):
  - Add accelerator support module (ASM) into tile to configure the accelerator, load data from/to external memory to/from the local accelerator memory, support features of the OS

# 4.3 - Multi-source EH and power management

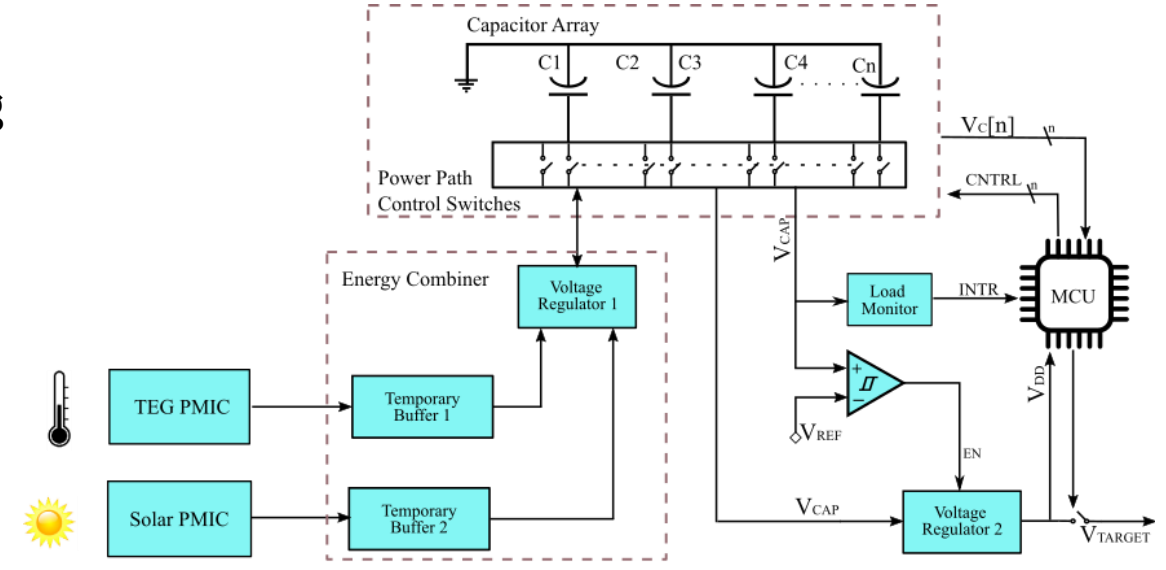


## Requirements:

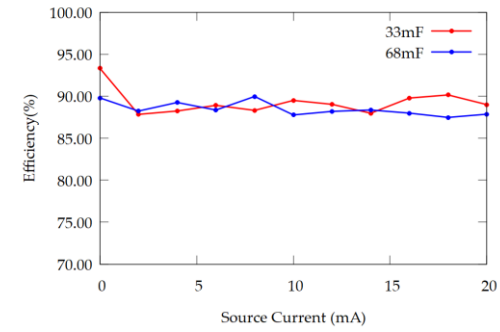
- To achieve simultaneous multi-source energy harvesting
- To collect energy-context data in real time to enable energy-aware applications on energy neutral devices

## InfiniteEn

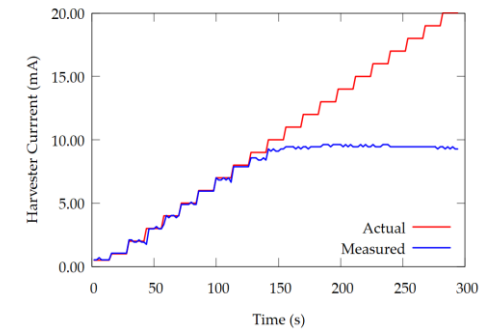
- A multisource energy harvesting power management unit (PMU) that incorporates:
  - An energy combiner: 88% nominal efficiency
  - An energy harvesting rate sensor for sensing harvesting rate in real time
  - A novel load monitoring module (LMM) for monitoring the energy state of the load
  - A reconfigurable storage, enabling on-the-run optimization of energy storage



A high-level block diagram of InfiniteEn.



Efficiency of the energy combiner incorporated into InfiniteEn.



Comparison of actual harvest rate and that sensed by InfiniteEn.



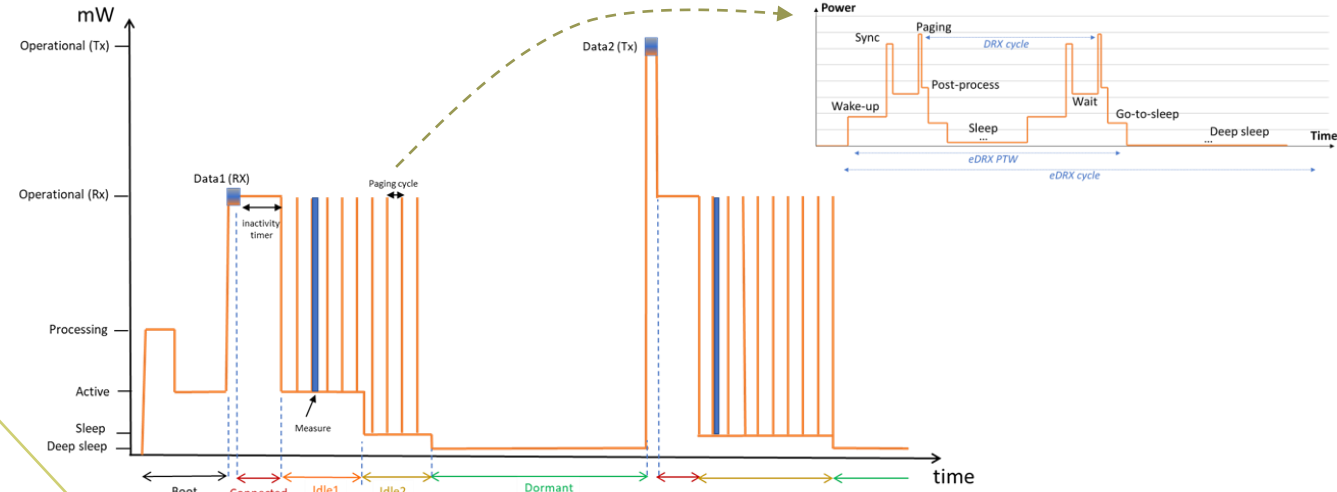
## 5. Ultra-low cost/power devices

- Low-power operation of battery-operated and energy-neutral IoT devices
  - Analysis of power consumption traces
  - Duty-cycling and power saving modes
- Channel coding gain vs. complexity optimization
- Specific enabling technologies
  - Energy harvesting
  - Energy-aware protocols
  - Tiny ML
  - Wake-up radios
  - Connectionless 6G modes
- Zero-Energy PoC progress in lab and field trials
  - Communication via backscattering of reference signals



# 5.1 - Energy, cost, and performance trade-offs

- Power consumption analysis for 6G IoT modem
  - Insight for evolution of power saving mechanisms
  - Battery lifetime KPI assessment
  - Current progress:
    - Identify legacy and future potential features/mechanisms
    - Setup evaluation methodology
    - Realistic power model for legacy (NB-IoT) modem according to 3GPP technology configuration and lab measurements
  - Ongoing: generalised model parameterisation
  - Future plan: Generalised parameterization for flexible analysis of future EmMTC/ZE devices
- Channel coding
  - Significant performance gain over uncoded transmissions
  - Requires additional complexity
  - Specific for ultra-low power devices



- adaptive low-power sleep modes and duty-cycling at both Tx and Rx
- adaptive energy-aware configuration for uplink and downlink transmission
- energy-aware channel access, synchronization, signaling, scheduling
- wake-up radio implementation
- advanced battery technologies, e.g., rechargeable battery storage of low self-discharge
- EH forecasting/management mechanisms
- lightweight security mechanisms
- low complexity sensing
- tiny ML for ZED targeting higher-end applications
- ...

Code	Relative encoding complexity <sup>3</sup>	Performance gain over uncoded <sup>4</sup>
Tail Biting Convolutional Code <sup>1</sup>	1	5.5 dB
Turbo Code <sup>1</sup>	2	6.5 dB
Systematic Polar Code <sup>2</sup>	>10	7.2 dB

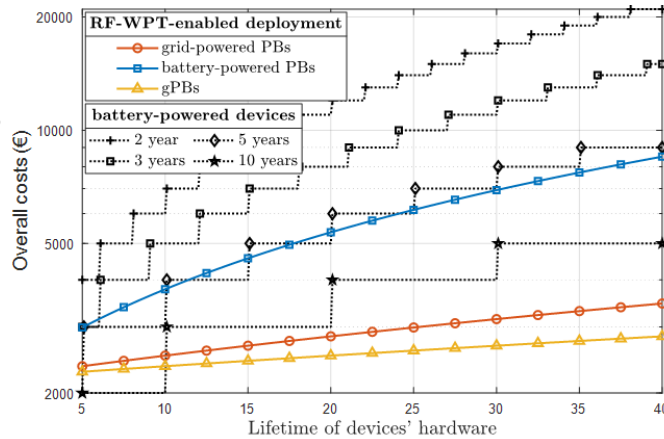
Notes: 1 [36.212]  
 2 [VHV16]  
 3 Extrapolated from [DF03] and [VHV16], Polar Code complexity depend on block length.  
 4 Eb/N0 gain with respect to uncoded transmission, for BPSK over AWGN channel, 112 bits payloads, 1/3 code rate



# 5.2 - Enabling technologies

- EH technologies
  - Suitable sources (light, heat, microbial fuel cells, vibration, RF) depend on the availability, energy demands and constraints
  - KPIs: power density, conversion eff., dynamic range
  - Performance boosters: array of transducers; widening frequency and spatial response; multi-source EH

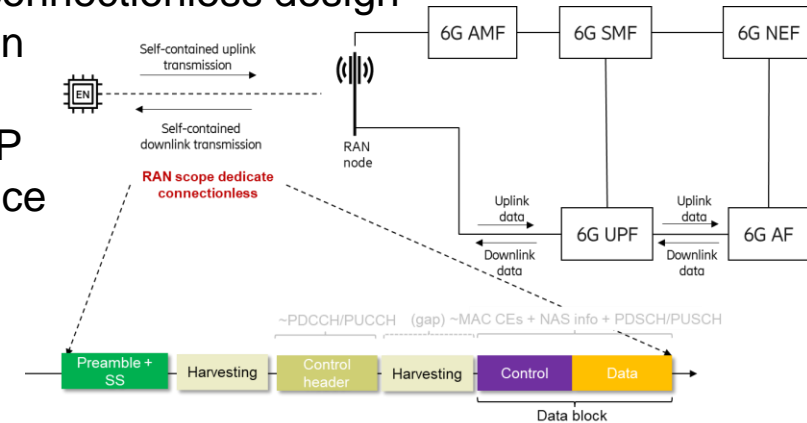
- RF-WPT
  - The deployment of PBs for RF-WTP can be cost-effective for charging massive IoT deployments



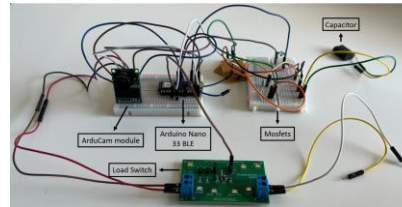
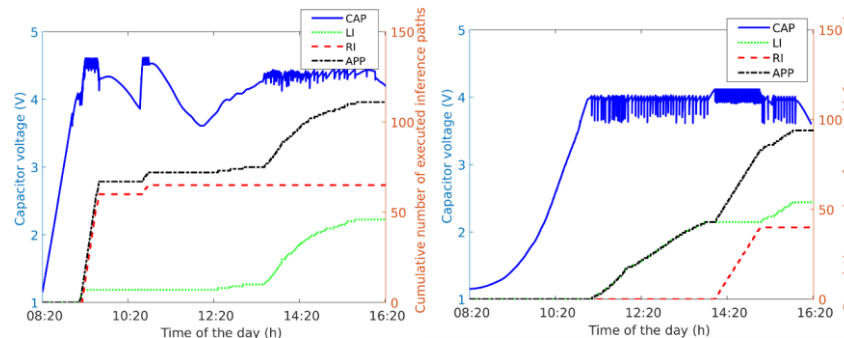
- Intelligent wake-up & duty cycling
  - Advanced (network side) or lightweight (device side) ML-based scheduling algorithms considering the sleep patterns of devices
  - Energy/ambient-awareness

- Energy-aware protocols for communication and sensing
  - Adaptive and manage energy resources
  - Select and adapt uplink and downlink transmission and scheduling based on availability of energy source
  - Assistance information on device status provided to the network

- RAN scope dedicated connectionless design
  - Lightweight connection with 6G RAN
  - Improved NAS and UP security between device and 6G core network



- TinyML

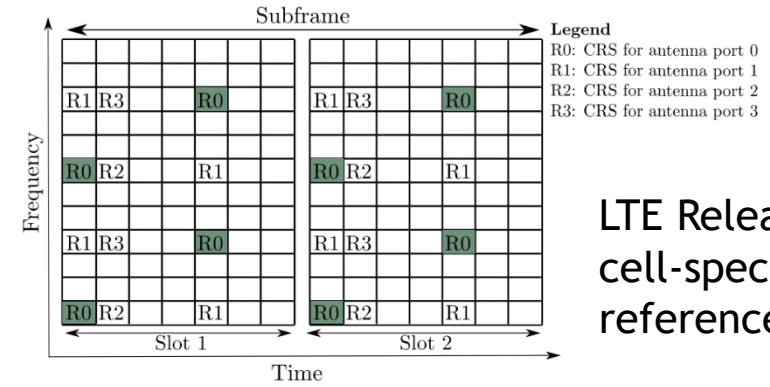
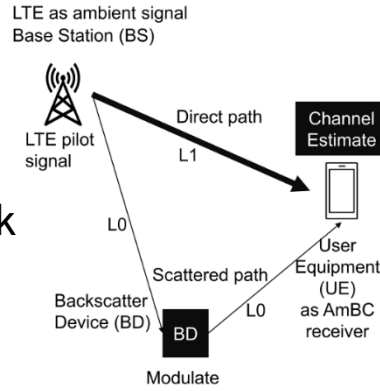




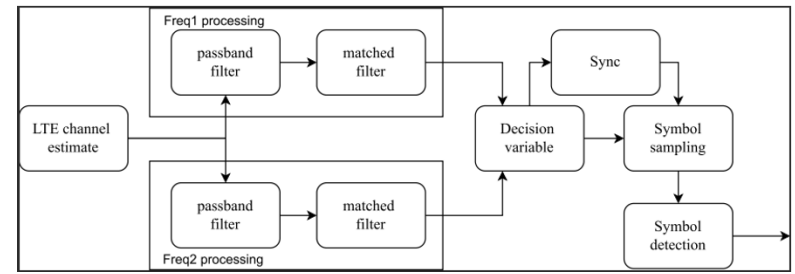
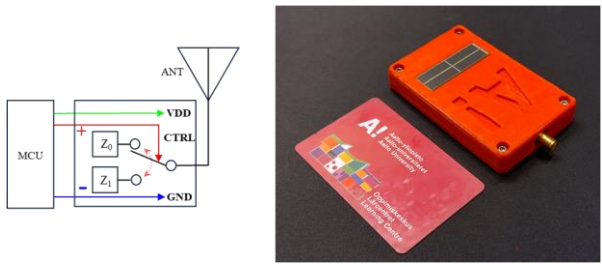
# 5.3 - ZE PoC



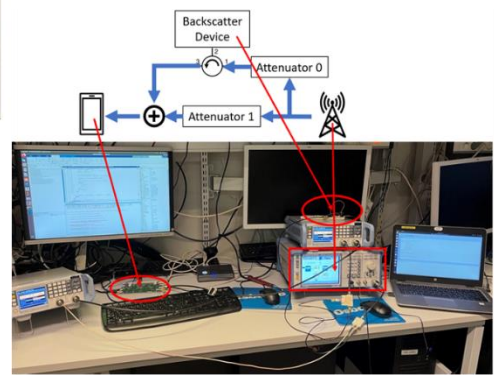
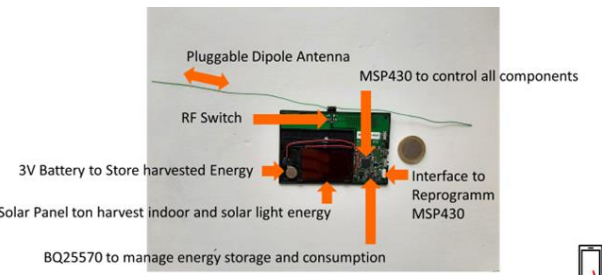
## Downlink setup



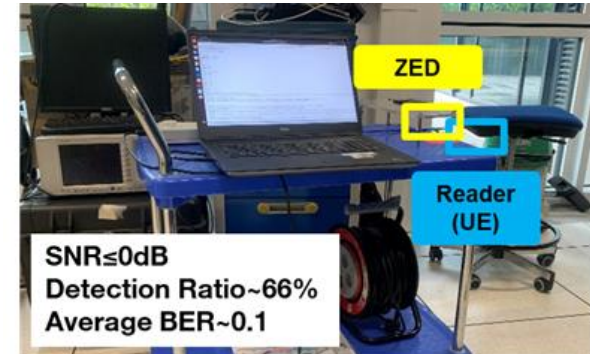
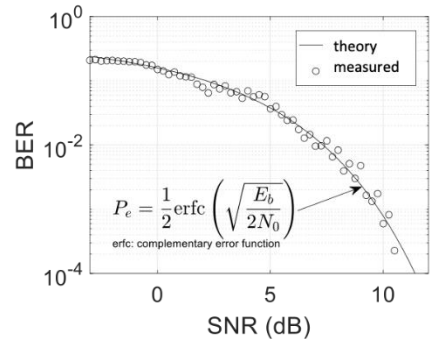
## LTE Release 8 cell-specific reference signal



## Flow chart of the proposed backscatter receiver



Laboratory tests

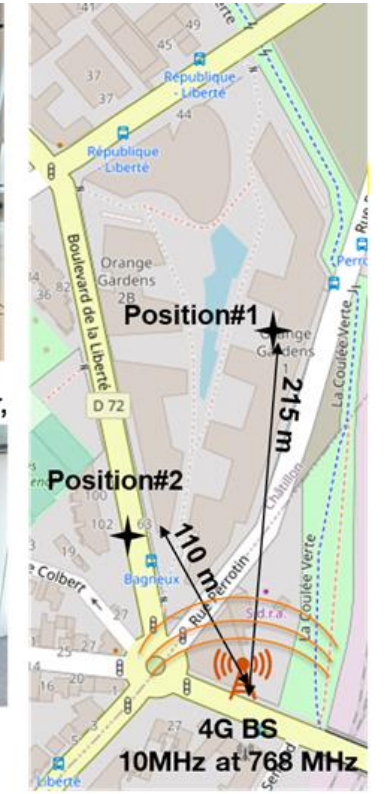


Position#1: Non Line of Sight, Deep Indoor, Ground Floor,



Position#2: Non Line of Sight, Deep Indoor, 4th Floor

## Field trial





## 6. Conclusions

- Hybrid partially-, fully-connected and full-digital architectures are relevant for sub-THz
  - Proper dimensioning (antennas and output power) has huge impact on energy eff.
- Analogue HW non-idealities need proper models for sub-THz performance assessment
  - Impact from higher carrier, wider bandwidth, more antennas and specific arch.
  - LO distribution in wideband arrays has strong impact on phase noise
- Resonant Tunneling Diodes and switched-beam antenna lenses offer alternative options
- RIS-based solutions are modeled from matching simulations and measurements
  - Proper control integration based on listed properties can enhance connectivity
- Flexible and energy-efficient SoC solutions integrate DSP and AI cores on RISC-V platform
  - Security aspects can be implemented using trusted communication between tiles
  - Multi-source energy harvesting and management of energy buffers are demonstrated
- Ultra-low power/cost IoT devices build on many technology enablers
  - Performance/longevity dynamics investigation, low-power channel coding, energy harvesting, wireless power transfer, tinyML, intelligent wake-up
  - A zero-energy PoC demonstrates backscattering using ambient cellular RF signals



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**6G**SNS

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